



# ASX Announcement

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## CORPORATE DIRECTORY

Chairman

PAUL KRISTENSEN

Founder, Managing Director

DAVID BUDGE

Business Development

and Marketing Director

NATHAN HENRY

Non-Executive Director

MEL ASHTON

Non-Executive Director

and Company Secretary

MATHEW WHYTE

## Change in Substantial Holding – David Budge

Aurora Labs Ltd (“Aurora” or “the Company”) (ASX: A3D) advises that it has received the enclosed Form 604 – *Notice of Change of Interest of Substantial Holder* from its Managing Director, Mr David Budge, pursuant to section 671B of the *Corporations Act 2001*(Cth) (“Form 604”).

The Form 604 details the changes in the relevant interest held by Mr Budge in the Company’s ordinary shares since Mr Budge’s last notice 17 August 2016. All changes noted in the form are reductions in Mr Budge’s relevant interest resulting from dilution due to the issue of new ordinary shares by the Company.

The Form 604 has been provided to the Company outside of the timeframe required by the *Corporations Act 2001*(Cth). However, it is noted that details of all changes to Mr Budge’s shareholding and relevant interest in ordinary shares have been disclosed to the market on a regular basis through other market announcements (e.g. Annual Reports, Corporate Update Presentations, Appendix 3Ys).

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## CONTACT DETAILS

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**ASX CODE: A3D**

**ACN: 601 164 505**

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## ABOUT AURORA LABS

Aurora is an industrial technology and innovation company that specialises in the development of 3D metal printers, powders, digital parts and their associated intellectual property.

Aurora Labs is listed on the Australian Securities Exchange (ASX: A3D)

For further information, please contact: [enquiries@auroralabs3D.com](mailto:enquiries@auroralabs3D.com)

**Form 604**Corporations Act 2001  
Section 671B**Notice of change of interests of substantial holder**To Company Name/Scheme **Aurora Labs Limited**ACN/ARSN **601 164 505****1. Details of substantial holder (1)**Name **David James Budge**

ACN/ARSN (if applicable)

There was a change in the interests of the substantial holder on

**See Annexure A** / \_\_\_\_\_ / \_\_\_\_\_The previous notice was given to the company on **17/08/2016**The previous notice was dated **17/08/2016****2. Previous and present voting power**

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

| Class of securities (4) | Previous notice   |                  | Present notice    |                  |
|-------------------------|-------------------|------------------|-------------------|------------------|
|                         | Person's votes    | Voting power (5) | Person's votes    | Voting power (5) |
| <b>See annexure A</b>   | <b>23,946,785</b> | <b>43.6%</b>     | <b>23,946,785</b> | <b>23.09%</b>    |
|                         |                   |                  |                   |                  |

**3. Changes in relevant interests**

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

| Date of change        | Person whose relevant interest changed | Nature of change (6) | Consideration given in relation to change (7) | Class and number of securities affected | Person's votes affected |
|-----------------------|--|----------------------|---|---|-------------------------|
| <b>See Annexure A</b> | <b>David James Budge</b>               |                      |   |   |                         |
|                       |  |                      |   |   |                         |
|                       |  |                      |   |   |                         |

**4. Present relevant interests**

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

| Holder of relevant interest | Registered holder of securities                   | Person entitled to be registered as holder (8)    | Nature of relevant interest (6)        | Class and number of securities    | Person's votes    |
|-----------------------------|---|---|--|-----------------------------------|-------------------|
| <b>David James Budge</b>    | <b>David James Budge &lt;Budge Family A/C&gt;</b> | <b>David James Budge &lt;Budge Family A/C&gt;</b> | <b>Registered holder of securities</b> | <b>23,946,785 ordinary shares</b> | <b>23,946,785</b> |
|                             |   |   |  |                                   |                   |
|                             |   |   |  |                                   |                   |

## 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

| Name and ACN/ARSN (if applicable) | Nature of association |
|-----------------------------------|-----------------------|
| Not applicable                    |                       |
|                                   |                       |

## 6. Addresses

The addresses of persons named in this form are as follows:

| Name              | Address                                  |
|-------------------|--|
| David James Budge | 25 Gibson Street, Mount Pleasant WA 6153 |
|                   |  |

## Signature

print name **David James Budge**

capacity

sign here



date **06/11/2019**

## DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 6 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (6) Include details of:
  - (a) any relevant agreement or other circumstances because of which the change in relevant interest occurred. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
  - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).

See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (7) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Give details, if appropriate, of the present association and any change in that association since the last substantial holding notice.

## ANNEXURE A TO FORM 604

**Company:** Aurora Labs Limited (ACN 601 164 505)

**Details:** This document of 1 page is Annexure A to ASIC Form 604 – *Notice of Change of Interests of Substantial Holder* dated 6 November 2019 lodged by me.

### Section 3 of Form 604

All changes in the table below relate to my relevant interest in ordinary shares of the Company.

| Date of change | Nature of change            | Consideration given in relation to change | Class and number of securities affected | Person's votes affected |
|----------------|-----------------------------|---|---|-------------------------|
| 1/03/2017      | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 2/03/2017      | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 29/08/2017     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 3/10/2017      | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 8/11/2017      | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 19/12/2017     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 19/12/2017     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 2/03/2017      | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 2/03/2018      | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 17/04/2018     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 17/04/2018     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 13/07/2018     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 30/08/2018     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 8/10/2018      | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 31/10/2018     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 26/11/2018     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 12/12/2018     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 24/12/2018     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 7/01/2019      | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 14/02/2019     | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |
| 5/11/2019      | Dilution due to share issue | Nil                                       | 23,946,785 ordinary shares              | 23,946,785              |



**David James Budge**

6 November 2019

Date